



Guiding Portfolio Strategies

McDONNELL INVESTMENT
MANAGEMENT, LLC

Privacy Policy

McDonnell Investment Management, LLC (“McDonnell”) respects your right to privacy and is dedicated to protecting the confidentiality of any personal information you provide to us. We also know that you expect us to conduct and process your business in an accurate and efficient manner. To do so, we must collect and maintain certain nonpublic personal information about you.

Types of Personal Information Gathered

In order to provide you with individualized service, we collect certain nonpublic personal information about you from information you provide on applications, forms and other documents (such as your address and social security number), and information about your transactions with us (such as purchases and sales, and account balances). We may also collect such information through correspondence (electronic, written and telephonic).

How We Use the Information

We do not disclose any nonpublic personal information about you to anyone, except as permitted or required by law; as needed to provide you services; or at your request. For everyday purposes, we may disclose the information we collect to companies that perform services on our behalf, such as broker-dealers effecting transactions for your account. We may disclose information to respond to court orders and legal investigations. In addition, we may disclose the information to companies that perform services on our behalf, including financial service providers such as custodians; administrative service providers such as printers and mailers that assist us in the distribution of client materials, and our corporate affiliates in order to enhance and improve customer communications, services and products designed to meet our customers' needs. These companies will use this information only for the services for which we hired them and are not permitted to use or share this information for any other purpose.

How We Protect Your Information

In order to further protect you, we also maintain internal security measures. We restrict access to your personal and account information to those employees who need to know that information to service your account. We also maintain physical, electronic and procedural safeguards to protect your nonpublic personal information.

If you have questions regarding our policy, please contact our Compliance Department at (630) 684-8646.